

KW:082003/8941008.AMD



6/B
9-12-03
mel

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Applicant : Sumio Yuyama
Serial No. : 09/630,834
Filed : August 2, 2000
For : ASSET MANAGEMENT ADVICE SYSTEM AND
RECORDING MEDIUM CONTAINING PROGRAM OF
THE SYSTEM
Examiner : Cristina O. Sherr
Group Art Unit : 3621
Atty Docket. No. : 894.1.008

I HEREBY CERTIFY THAT THIS CORRESPONDENCE IS BEING DEPOSITED WITH THE UNITED STATES POSTAL SERVICE AS
FIRST CLASS MAIL IN AN ENVELOPE ADDRESSED TO: COMMISSIONER FOR PATENTS, ALEXANDRIA, VA 22313-1450
ON August 21, 2003
NAME Kenneth Watov

SIGNATURE

Kenneth Watov

August 21, 2003

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

RECEIVED
SEP 02 2003
GROUP 3600

5

AMENDMENT

Dear Sir:

In response to the Office Action dated March 31, 2003, Applicant requests that the claims
be amended as indicated below:

3621\$

AMENDMENT TRANSMITTAL LETTER			Docket No.: 894.1.008
Serial No.: 09/630,834	Filing Date: 08/02/2000	Examiner: Cristina O. Sherr	Group Art Unit: 3621
Invention Title: ASSET MANAGEMENT ADVICE SYSTEM AND RECORDING MEDIUM CONTAINING PROGRAM OF THE SYSTEM			

TO THE COMMISSIONER OF PATENTS AND TRADEMARKS

Transmitted herewith is an Amendment and "Petition For Extension of Time" in the above-identified Application.

- ☒ Small Entity status of this Application has been established under 37 CFR 1.27 by a Verified Statement previously submitted.
- ☒ \$205.00 fee for two (2) month Extension of Time.

CLAIMS AS AMENDED

	(1)		(2)	(3)		
	CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT NUMBER EXTRA	RATE	FEE
TOTAL CLAIMS	30	MINUS	34	0	X \$18	\$ 0
INDEPENDENT CLAIMS	3	MINUS	3	0	X \$84	\$ 0
MULTIPLE DEPENDENT CLAIM ADDED					X \$280	\$
					TOTAL	\$
If applicant is a small entity under 37 CFR 1.9 and 1.27, then divide total fee by 2, and enter amount here.						SMALL ENTITY TOTAL
						\$ 0

- ☐ Please charge Deposit Account Number 23-015 in the amount of \$KEYBOARD. A duplicate copy is enclosed.
- ☒ A check in the amount of \$205.00 to cover the extension filing fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge any additional fees which may be required in connection with this matter, or credit any overpayment, to Deposit Account No. 23-0510.

August 21, 2003
Date

Kenneth Watov
Kenneth Watov, Reg. 26,042
WATOV & KIPNES, P.C.
P.O. Box 247
Princeton Junction, NJ 08550
(609) 243-0330

RECEIVED
SEP 02 2003
GROUP 3600

8941008 AMD-TRANSMITTAL